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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 082771.P277

Total Pages 2

First Named Inventor or Application Identifier Shantigram Jagannath, et al.

Express Mail Label No. EL034145171US

ADDRESS TO: **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231**

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Specification (Total Pages 15)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.  Drawings(s) (35 USC 113) (Total Sheets 7)
4.  Oath or Declaration (Total Pages 4) (unsigned)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
  - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. \_\_\_\_\_ Computer Readable Copy
- b. \_\_\_\_\_ Paper Copy (identical to computer copy)
- c. \_\_\_\_\_ Statement verifying identity of above copies

#### ACCOMPANYING APPLICATION PARTS

- 8. \_\_\_\_\_ Assignment Papers (cover sheet & documents(s))
- 9. \_\_\_\_\_
  - a. 37 CFR 3.73(b) Statement (where there is an assignee)
  - \_\_\_\_\_ b. Power of Attorney
- 10. \_\_\_\_\_ English Translation Document (if applicable)
- 11. \_\_\_\_\_
  - a. Information Disclosure Statement (IDS)/PTO-1449
  - \_\_\_\_\_ b. Copies of IDS Citations
- 12. \_\_\_\_\_ Preliminary Amendment
- 13. \_\_\_\_\_ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 14. \_\_\_\_\_
  - a. Small Entity Statement(s)
  - \_\_\_\_\_ b. Statement filed in prior application, Status still proper and desired
- 15. \_\_\_\_\_ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 16. \_\_\_\_\_ Other: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation       Divisional       Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_

18. Correspondence Address

Customer Number or Bar Code Label

(Insert Customer No. or Attach Bar Code Label here)

or

Correspondence Address Below

NAME David R. Halvorson

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**FEE TRANSMITTAL****TOTAL AMOUNT OF PAYMENT (\$)** 960.00**Complete if Known:****Application No.** \_\_\_\_\_**Filing Date** \_\_\_\_\_**First Named Inventor** Shantigram Jagannath, et al.**Group Art Unit** \_\_\_\_\_**Examiner Name** \_\_\_\_\_**Attorney Docket No.** 082771.P277

Express Mail No.: EL034145171US

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2.  Payment Enclosed

Check  
 Money Order  
 Other

**FEE CALCULATION (fees effective 10/01/97)****1. FILING FEE****Large Entity****Small Entity**

Fee	Fee	Fee	Fee			Fee Paid
Code	(\$)	Code	(\$)	Fee Description		
101	790	201	395	Utility application filing fee	<u>790.00</u>	
106	330	206	165	Design application filing fee	_____	
107	540	207	270	Plant filing fee	_____	
108	790	208	395	Reissue filing fee	_____	
114	150	214	75	Provisional application filing fee	_____	
<b>SUBTOTAL (1)</b>					\$	<b>790.00</b>

**2. CLAIMS**

		Extra	Fee from below		Fee Paid
Total Claims	<u>24</u>	- 20 =	<u>4</u>	X <u>22.00</u>	= <u>88.00</u>
Independent Claims	<u>4</u>	- 3 =	<u>1</u>	X <u>82.00</u>	= <u>82.00</u>
Multiple Dependent Claims			X		

**Large Entity****Small Entity**

Fee	Fee	Fee	Fee		Fee Paid
Code	(\$)	Code	(\$)	Fee Description	
103	22	203	11	Claims in excess of twenty	<u>88.00</u>
102	82	202	41	Independent claims in excess of 3	<u>82.00</u>
104	270	204	135	Multiple dependent claim	_____
109	82	209	41	Reissue independent claims over original patent	_____
110	22	210	11	Reissue claims in excess of 20 and over original patent	_____
<b>SUBTOTAL (2)</b>					\$ <b>170.00</b>

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	Surcharge - late filing fee or oath
127	50	227	Surcharge -late provisional filing fee or cover sheet
139	130	139	Non-English specification
147	2,520	147	For filing a request for reexamination
112	920*	112	Requesting publication of SIR prior to Examiner action
113	1,840*	113	Requesting publication of SIR after Examiner action
115	110	215	Extension for response within first month
116	400	216	Extension for response within second month
117	950	217	Extension for response within third month
118	1,510	218	Extension for response within fourth month
128	2,060	228	Extension for response within fifth month
119	310	219	Notice of Appeal
120	310	220	Filing a brief in support of an appeal
121	270	221	Request for oral hearing
138	1,510	138	Petition to institute a public use proceeding
140	110	240	Petition to revive unavoidably abandoned application
141	1,320	241	Petition to revive unintentionally abandoned application
142	1,320	242	Utility issue fee (or reissue)
143	450	243	Design issue fee
144	670	244	Plant issue fee
122	130	122	Petitions to the Commissioner
123	50	123	Petitions related to provisional applications
126	240	126	Submission of Information Disclosure Stmt
581	40	581	Recording each patent assignment per property (times number of properties)
146	790	246	For filing a submission after final rejection (see 37 CFR 1.129(a))
149	790	249	For each additional invention to be examined (see 37 CFR 1.129(a))
Other fee (specify)			
Other fee (specify)			

SUBTOTAL (3) \$ 0

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY:

Typed or Printed Name: David R. Halvorson  
Signature \_\_\_\_\_ Date 6/30/98  
Reg. Number 33,395 Deposit Account User ID \_\_\_\_\_  
(complete if applicable)